

UTILITY APPLICATION EXPRESS MAIL CERTIFICATE

Date: December 14, 2004

Our Ref.: WJLJ-P003-US

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: New 371 U.S. Utility Patent Application
Inventors: Margaret Pamela RICHARDSON et al.
Title: IMPROVEMENTS IN AND RELATING TO CONTROL OF LIQUID FLOW INTO OR OUT OF A HUMAN OR ANIMAL BODY

Sir:

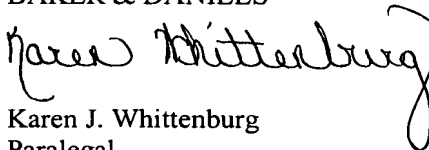
The following materials are being submitted herewith via Express Mail on December 14, 2004 to the Commissioner for Patents at the address indicated above.

- ✓ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (including duplicate fee page);
- ✓ Copy of the International Application as published (Publication No. WO 03/105942 A1);
- ✓ Application Data Sheet;
- ✓ Formal Drawings (5 sheets);
- ✓ Preliminary Amendment;
- ✓ Statement as to Priority of Application;
- ✓ Information Disclosure Statement documents;
- ✓ Check No. 353758 in the amount of \$1,025.00; and
- ✓ PTO return postcard

Upon receipt of these materials, please date-stamp the enclosed postcard and return it to the undersigned.

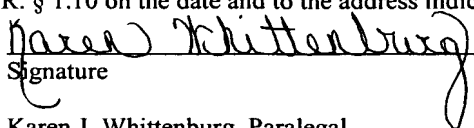
Sincerely,

BAKER & DANIELS



Karen J. Whittenburg
Paralegal

Enclosures

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.10)	
Express Mail Label Number: <u>EV 461 295 636 US</u>	
I hereby certify that the papers indicated above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date and to the address indicated above.	
<u>December 14, 2004</u> Date of Deposit	 Signature <u>Karen J. Whittenburg, Paralegal</u> Printed Name of Person Mailing Paper and/or Fee

FORM PTO-1390
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

WJLJ-P003-US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/517848

INTERNATIONAL APPLICATION NO.

PCT/GB03/02579

INTERNATIONAL FILING DATE

16 June 2003 (16.06.2003)

PRIORITY DATE CLAIMED

14 June 2002 (14.06.2002)

TITLE OF INVENTION

IMPROVEMENTS IN AND RELATING TO CONTROL OF LIQUID FLOW INTO OR OUT OF A HUMAN OR ANIMAL BODY

APPLICANT(S) FOR DO/EO/US

RICHARDSON, Margaret Pamela and RICHARDSON, Philip

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☒ A copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mail Certificate
PTO Return Postcard
Check No. 353758 in the amount of \$1,025.00

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 107517848		INTERNATIONAL APPLICATION NO. PCT/GB03/0257		ATTORNEY'S DOCKET NUMBER WJLJ-P003-US	
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 150.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 150.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 150.00	
\$ 150.00							
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	55 - 20 =	35	x \$ 25.00	\$ 875.00			
Independent claims	2 - 3 =	0	x \$ 100.00	\$ 0.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0				\$ 0.00			
TOTAL OF ABOVE CALCULATIONS =				\$			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above have been previously calculated in the small entity amount.				\$			
SUBTOTAL =				\$ 1,025.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$			
TOTAL FEES ENCLOSED =				\$ 1,025.00			
				Amount to be refunded:	\$		
				charged:	\$		

a. ☒ A check in the amount of \$ 1,025.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-0390. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE
Sarah M. Jabbari
 NAME
317-237-1130
 REGISTRATION NUMBER

Appl. No. : Not Yet Assigned Confirmation No.: Unassigned
Applicant : Margaret Pamela RICHARDSON et al.
Filed : December 14, 2004
TC/A.U. : Not Yet Assigned
Examiner : Not Yet Assigned

Docket No. : WJLJ-P003-US
Customer No. : 27268

STATEMENT AS TO PRIORITY OF APPLICATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby claims that the presently-filed U.S. application is a National Phase application claiming the priority under 35 U.S.C. §120 of PCT Application No. PCT/GB03/02579 filed June 16, 2003, which PCT application claims priority to British Application Nos. 0226436.4 filed November 13, 2002 and 0213596.0 filed June 14, 2002.

Respectfully submitted,

Date: December 14, 2004



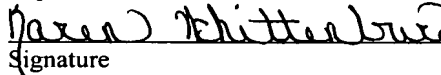
Sarah M. Jabbari, Reg. No. 47,679
Baker & Daniels
300 North Meridian Street, Suite 2700
Indianapolis, Indiana 46204
Telephone: (317) 237-1130
Facsimile: (317) 237-1000
e-mail: sarah.jabbari@bakerd.com

SMJ/KJW

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Signature

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Date of Deposit

Karen J. Whittenburg, Paralegal
Printed Name of Person Mailing Paper and/or Fee